



08-27-07

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PTO/SB/22 (08-03)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Attorney Docket No. AIG-001																													
Applicant:	Robert Feilbogen	Conf. No.:	7100																												
Application No.:	09/848,931	Group No.:	3692																												
Filing Date.:	May 4, 2001	Examiner:	Nga B. Nguyen																												
Title:	METHOD AND SYSTEM FOR INITIATING AND CLEARING TRADES																														
<p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.</p> <p>The requested extension and appropriate non-small-entity fee are as follows (check time period desired):</p> <table><tr><td><input type="checkbox"/></td><td>One month (37 CFR 1.17(a)(1))</td><td>\$</td><td>_____</td></tr><tr><td><input type="checkbox"/></td><td>Two months (37 CFR 1.17(a)(2))</td><td>\$</td><td>_____</td></tr><tr><td><input checked="" type="checkbox"/></td><td>Three months (37 CFR 1.17(a)(3))</td><td>\$</td><td>1,020.00</td></tr><tr><td><input type="checkbox"/></td><td>Four months (37 CFR 1.17(a)(4))</td><td>\$</td><td>_____</td></tr><tr><td><input type="checkbox"/></td><td>Five months (37 CFR 1.17(a)(5))</td><td>\$</td><td>_____</td></tr></table> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one half, and the resulting fee is:</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-3081.</p> <p>I am the</p> <table><tr><td><input type="checkbox"/></td><td>applicant/inventor.</td></tr><tr><td><input type="checkbox"/></td><td>assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).</td></tr><tr><td><input checked="" type="checkbox"/></td><td>attorney or agent of record. Registration Number 36,471</td></tr><tr><td><input type="checkbox"/></td><td>attorney or agent under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) _____</td></tr></table>				<input type="checkbox"/>	One month (37 CFR 1.17(a)(1))	\$	_____	<input type="checkbox"/>	Two months (37 CFR 1.17(a)(2))	\$	_____	<input checked="" type="checkbox"/>	Three months (37 CFR 1.17(a)(3))	\$	1,020.00	<input type="checkbox"/>	Four months (37 CFR 1.17(a)(4))	\$	_____	<input type="checkbox"/>	Five months (37 CFR 1.17(a)(5))	\$	_____	<input type="checkbox"/>	applicant/inventor.	<input type="checkbox"/>	assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).	<input checked="" type="checkbox"/>	attorney or agent of record. Registration Number 36,471	<input type="checkbox"/>	attorney or agent under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) _____
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<input type="checkbox"/>	Four months (37 CFR 1.17(a)(4))	\$	_____																												
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<input type="checkbox"/>	attorney or agent under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) _____																														
Date: August 24, 2007 Reg. No.: 36,471  Tel. No.: (617) 526-9800 Fax No. (617) 526-9899		Respectfully submitted,   _____ Joseph A. Capraro, Jr. Attorney for the Applicants Proskauer Rose LLP One International Place Boston, MA 02110																													

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## FEE TRANSMITTAL

FY 2007

Complete if Known

Application No.	09/848,931
Docket No.	AIG-001
Filing Date	May 4, 2001
First Named Inventor	Robert Feilbogen
Group No.	3692
Examiner Name	Nga B. Nguyen
Confirmation No.	7100

## METHOD OF PAYMENT

☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 50-3081.

- ☒ Required Fees (copy of this sheet enclosed).
- ☐ Additional fee required under 37 CFR 1.16 and 1.17.
- ☒ Overpayment Credit.

☐ Applicant claims small entity status. (deduct 50%)

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	Filing	Search	Examination	Fee Paid
Utility	300	500	200	
Design	200	100	130	
Plant	200	300	160	
Reissue	300	500	600	
Provisional	200	0	0	

## Small Entity Discount

1. TOTAL 0

## 2. EXCESS CLAIM FEES

	Fee	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent.	200	100

Total Claims Extra Claims Fee Paid (\$)

- 20 or HP= \_\_\_\_\_ x \$ \_\_\_\_\_ =

HP = highest number of total claims paid for, if greater than 20

Indep. Claims Extra Claims Fee Paid (\$)

- 3 or HP= \_\_\_\_\_ x \$ \_\_\_\_\_ =

HP = highest number of total claims paid for, if greater than 3

Multiple Dependent Claims	Fee(\$)	Small Entity fee (\$)	Fee Paid (\$)
	360	180	

2. TOTAL: 0

## 3. APPLICATION SIZE FEE

If the specification and drawing exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Additional 50 or fraction thereof	Fee (\$)	Fee Paid
		round up to a whole number	x	=
-100=	0	/50=		0.00

3. TOTAL: 0

## CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator  
Proskauer Rose LLP  
One International Place  
Boston, MA 02110  
Tel. No.: (617) 526-9600  
Fax No.: (617) 526-9899

## FEE CALCULATION (continued)

## 4. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte re-examination	
120	60	Extension for reply within 1 <sup>st</sup> mo.	
450	225	Extension for reply within 2 <sup>nd</sup> mo.	
1,020	510	Extension for reply within 3 <sup>rd</sup> mo.	1,020.00
1,590	795	Extension for reply within 4 <sup>th</sup> mo.	
2,160	1,080	Extension for reply within 5 <sup>th</sup> mo.	
500	250	Notice of Appeal	
500	250	Filing a brief in support of an appeal	
1,000	500	Request for oral hearing	
400	0	Petitions to the Director	
180	180	Submission of IDS	
790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
790	395	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
130	65	Submission of Terminal Disclaimer	
Other fee (Specify)			
Other fee (Specify)			
4. TOTAL:			1,020.00

## TOTAL AMOUNT SUBMITTED

(\$) 1,020.00

## SIGNATURE BLOCK

Respectfully submitted,

Date: August 24, 2007  
Reg. No.: 36,471  
Tel. No.: (617) 526-9800  
Fax No.: (617) 526-9899

*Joseph A. Capraro, Jr.*  
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